

12/12/01

J1025 U.S. PTO

12-17-01

PTO/SB/05 (03-01)

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **CA9 2000 0064 US1**First Inventor **Lionel Mestre**Title **Method and Apparatus for Generating Serialization Code for  
Representing a Model in Different Type Systems**Express Mail Label No. **ET081552430US****APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant Claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **22**]  
(Preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed Sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **5**]
5. Oath or Declaration [Total Pages **3**]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R 2 copies); or
  - ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_ / \_\_\_\_

Prior application information:

Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

(Insert Customer No. Or Attach bar code label here)

or ☐ Correspondence address below

Name	<b>Karl O. Hesse</b>				
Address	<b>International Business Machines Corporation</b>				
	<b>8501 IBM Drive, Intellectual Property Law Dept</b>				
City	<b>Charlotte</b>	State	<b>NC</b>	Zip Code	<b>28262-4333</b>
Country	<b>USA</b>	Telephone	<b>(704)594-8302</b>	Fax	<b>(704)594-8307</b>

Name (Print/Type)	<b>Karl O. Hesse</b>	Registration No. (Attorney/Agent)	<b>25,398</b>
Signature	<i>Karl O. Hesse</i>	Date	<b>12 DEC 2001</b>

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

## Complete if Known

Application Number	
Filing Date	December 12, 2001
First Named Inventor	Lionel Mestre
Examiner Name	
Group Art Unit	
Attorney Docket No.	CA9 2000 0064 US1

TOTAL AMOUNT OF PAYMENT \$ 1142.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge  
Indicated fees and credit any overpayment to:

Deposit Account **09-0469**  
Number  
Deposit Account  
Name

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATIONS

## 1. BASIC FILING FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fees	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 740.00)

## 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims 33 -20** =	13	18.00	234.00
Independent Claims 5 - 3** =	2	84.00	168.00
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over Original patent

SUBTOTAL (2) \$ 402.00

\*\* or number previously paid, if greater; For Reissue, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code	Large Entity		Small Entity		Fee Description	Fee Paid
	Fee (\$)	Fee Code	Fee (\$)	Fee Code		
105	130	205	65		Surcharge - late filing fee or oath	
127	50	227	25		Surcharge - late provisional filing fee or cover sheet	
139	130	139	130		Non-English specification	
147	2,520	147	2,520		For filing a request for ex parte reexamination	
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action	
115	110	115	55		Extension for reply within first month	
116	400	216	200		Extension for reply within 2nd month	
117	920	217	460		Extension for reply within third month	
118	1,440	218	720		Extension for reply within fourth month	
128	1,960	228	980		Extension for reply within fifth month	
119	320	219	160		Notice of Appeal	
120	320	220	160		Filing a brief in support of an appeal	
121	280	221	140		Request for oral hearing	
138	1,510	138	1,510		Petition to institute a public use proceeding	
140	110	240	55		Petition to revive - unavoidable	
141	1,280	241	640		Petition to revive - unintentional	
142	1,290	242	640		Utility issue fee (or reissue)	
143	460	243	230		Design issue fee	
144	620	244	310		Plant issue fee	
122	130	122	130		Petition to the Commissioner	
123	50	123	50		Processing fee under 37 CFR 1.117(q)	
126	180	126	180		Submission of Information Disclosure Stmt	
581	40	581	40		Recording each patent assignment per Property(times number of properties)	
146	740	246	370		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370		For each additional invention to be Examined (37 CFR § 1.129(b))	
179	740	279	370		Request for continued Examination (RCE)	
169	900	169	900		Request for expedited examination Of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$ 0.00

## SUBMITTED BY

Name (Print/Type)	Karl O. Hesse	Registration No. (Attorney/Agent)	25,398	Telephone	(704) 594-8300
Signature	<i>Karl O. Hesse</i>	Date	12 Dec 2001		